

UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. CHEN0131

Date: June 19, 2000



Inventor(s): James C. Chen and Darrin Huston

Title **FLEXIBLE SUBSTRATE MOUNTED SOLID-STATE LIGHT SOURCES FOR EXTERIOR VEHICULAR LIGHTING**JC-821 U.S. PTO
09/597931
06/19/00FULL NAME OF FIRST NAMED INVENTOR: James C. Chen COUNTRY OF CITIZENSHIP: U.S.RESIDENCE ADDRESS OF INVENTOR: 2011 - 87th Place N.E., Bellevue, WA 98004FULL NAME OF SECOND NAMED INVENTOR: Darrin Huston COUNTRY OF CITIZENSHIP: U.S.RESIDENCE ADDRESS OF INVENTOR: 37330 - 246th Avenue S.E., Enumclaw, WA 98022**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231 1. Fee Transmittal (BELOW) 2. Specification [Total Pages 17] 3. Drawing(s) (35 U.S.C. 113) [Total Sheets 5] **[FORMAL]** 4. Oath or Declaration [Total Pages 2]a. Newly executed (original or copy)b. Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**ii. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).5. Incorporation By Reference (useable if Box 4b is check)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. Microfiche Computer Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. Assignment Papers (cover sheet & document(s))9. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) _____ Power of Attorney10. English Translation Document (if applicable)11. Information Disclosure Statement (IDS)/PTO-1449 _____ Copies of IDS Citations12. Preliminary Amendment13. Return Receipt Postcard (MPEP 503) (Should be itemized specifically)14. *Small Entity Statement(s) _____ Statement filed in prior application (PTO/SB/09-12) _____ Status still proper and desired

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)16. Other: _____17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) _____ of prior application No: _____ / _____

Prior application information: Examiner: _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

Correspondence address below:

Ronald M. Anderson
 LAW OFFICES OF RONALD M. ANDERSON
 600 - 108th Avenue N.E., Suite 507
 Bellevue, WA 98004

JC 821 U.S. PTO
09/597931

06/19/00

Telephone: 425-688-8816 Facsimile: 425-646-6314

FEE TRANSMITTAL

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)	
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>01-1940</u>	3. ADDITIONAL FEES (Continued)	
Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Payment Enclosed: <u>4521</u> <input checked="" type="checkbox"/> Check (No. <u>4521</u>) Money Order _____ Other Payment NOT Enclosed:	Requesting publication of SIR after Examiner action	
	Extension for reply within first month	
	Extension for reply within second month	
	Extension for reply within third month	
	Extension for reply within fourth month	
	Extension for reply within fifth month	
	Notice of Appeal	
	Filing a brief in support of an appeal	
	Request for oral hearing	
	Petition to institute a public use proceeding	
	Petition to revive - unavoidable	
	Petition to revive - unintentional	
	Utility issue fee (or reissue)	
	Design issue fee	
	Plant issue fee	
	Petitions to the Commissioner	
	Petitions related to provisional applications	
	Submission of Information Disclosure Statement	
	Recording each patent assignment per property (times number of properties)	40
	Submission after final rejection (37 C.F.R. 1.129(a))	
	Add'l invention to be examined (37 CFR 1.129(b))	
	Other fee (specify)	
	SUBTOTAL (3)	\$ 40
SUBTOTAL (1)	\$ 345	
2. EXTRA CLAIM FEES		
Extra Claims	Fee from below	Fee Paid
Total Claims	$24 - 20 = 4$ x	\$9 = \$ 36
Independent Claims	$3 - 3 = 0$ x	\$78 = \$ 0-
Multiple Dependent	-0 x	\$130 = \$ 0-
SUBTOTAL (2)	\$ 36	
FEES (SUBTOTALS (1) & (2)	\$381	
3. ADDITIONAL FEES		
Surcharge - late filing fee or oath		
Surcharge - late provisional filing fee or cover sheet		
Non-English specification		
Request for reexamination		
Requesting publication of SIR after Examiner action		
TOTAL FEES ENCLOSED: \$ 421		

Typed/Printed Name

Ronald M. Anderson

Registration Number: 28,829

Signature

Ron Anderson

Date: June 19, 2000

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL542941207US

Date of Deposit: June 19, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Kathy L. Harris

(Name of person mailing paper/fee)

Kathy L. Harris

(Signature of person mailing paper or fee)